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Sheet 1 (A) of 8 U.S. DEPARTMENT OF COMMERCE SUBSTITUTE FORM PTO-1449 ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE MRD/69 09/919,243 (MODIFIED FORMATION DISCLOSURE **APPLICANT** ATEMENT BY APPLICANT Raghavan Rajagopalan, et al. FILING DATE **GROUP** several sheets if necessary) (37 CFR 1.98(b)) July 31, 2001 Unknown **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER** ISSUE IF APPROPRIATE PATENT NUMBER **PATENTEE CLASS SUBCLASS** DATE INITIAL 3 9 10/1984 6 5 9 8 04/1987 Nicolotti et al. 548 546 A.A 390 03/1986 7 3 2 9 4 03/1988 Nicolotti et al. 530 A.B 3 7 3 1.1 09/1984 8 0 0 06/1989 Nicolotti 424 A.C 6 5 3 9 2 558 254 03/1988 9 10/1990 Fritzberg et al. A.D 10/1993 5 5 1 8 8 8 8 05/1996 435 7.23 A.E Waldman .. IT reads A.F A.G A.H A.I A.J A.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS **TRANSLATION PUBLICATION COUNTRY OR DOCUMENT** (YES/NO) NUMBER PATENT OFFICE **CLASS SUBCLASS** DATE 09/1990 **European Patent Application** C12P 21/00 Yes EP 0386275 A2 A.L 49/02 WO 93/00934 01/1993 PCT WIPO, International A61K Yes A.M Bureau C07K 15/00 Yes 06/1994 **European Patent Application** EP 0284071 B1 A.N A.O A.P A.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Kohler and Milstein, Continuous cultures of fused cells secreting antibody of predefined specificity, Nature 256, 1975, A.R pp. 495-497 Licha et al., Synthesis and characterization of cyanine dyes as contrast agents for near-infrared imaging, The Society A.S of Photo-Optical Instrumentation Engineers, Vol. 2927, 1996, pp. 192-198 Li et al., Tumor Localization Using Fluorescence of Indocyanine Green (ICG) In Rat Models, The Society of Photo-A.T Optical Instrumentation Engineers, Vol. 2389, 1995, pp. 789-797 **DATE CONSIDERED EXAMINER** 6/27/03 tains a founder

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Substitute Disclosure Form (PTO-1449)

Sheet 2 (B) of 8 SUBSTITUTE FOR U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. (MODIFIED) PATENT AND TRADEMARK OFFICE MRD/69 09/919,243 m in drantion disclosure APPLICANT STETEMENT BY APPLICANT Raghavan Rajagopalan, et al. FILING DATE GROUP everal sheets if necessary) (37 CFR 1.98) July 31, 2001 Unknown **U.S. PATENT DOCUMENTS EXAMINER ISSUE** FILING DATE PATENT NUMBER **CLASS SUBCLASS** IF APPROPRIATE INITIAL DATE **PATENTEE** B.A B.B B.C B.D B.E B.F B.G B.H B.I B.J B.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION **PUBLICATION COUNTRY OR DOCUMENT** NUMBER DATE PATENT OFFICE **CLASS SUBCLASS** (YES/NO) B.L B.M B.N B.O R.P B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Riefke et al., In vivo characterization of cyanine dyes as contrast agents for near-infrared imaging, The Society of B.R Photo-Optical Instrumentation Engineers, Vol. 2927, 1996, pp. 199-208

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Substitute Disclosure Form (PTO-1449)

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